

**Share Holding Pattern as per Regulation 31(1) of the SEBI(Listing Obligations and Disclosures) Regulations 2015**

1 Name of Listed Entity: **Little Rock Trade and Investment Ltd.**  
 2 Scrip Code/Name of Scrip/Class of Security: **22055/Equity**  
 3 Share Holding Pattern Filed under Reg 31(1)(a)/Reg 31(1)(b)/Reg 31(1)(c): **Regulation 31(1)(b)**  
 a. If under 31(1)(b) then indicate the report for Quarter ending: **September 30, 2018**  
 b. If under 31(1)(c) then indicate date of allotment/extinguishment: **NA**  
 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be disclosed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default in submission in the form of holding of specified securities.

**5. The tabular format for disclosure of holding of specified securities is as follows :**

**Table I - Summary Statement holding of specified securities**

Category (A)	Category of shareholders (B)	No. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares calculated as per SCRR,1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VIII)/(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class (a)	Class (b)	Total								
(A)	Promoter & Promoter Group	3	1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(B)	Public	33	114000	0	0	114000	9.13	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C)	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(D)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(E)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	<b>Total</b>	<b>36</b>	<b>1249000</b>	<b>0</b>	<b>0</b>	<b>1249000</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VIII)/(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
(I)	Indian																	
(a)	Individuals (Hindu undivided Family)		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	
(b)	Central Government/State Government		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	
(c)	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	
(d)	Non-Indian (Hindu Corporate)		3	1135000	0	1135000	90.87	0	0	0	0.00	0	0	0	0	0.00	0	
	Hemantree Commercial Pvt Ltd	5998304000		446900	0	446900	55.78	0	0	0	0.00	0	0	0	0	0.00	0	
	Deviaparthak's Commercial Pvt Ltd	337672700		235500	0	235500	18.85	0	0	0	0.00	0	0	0	0	0.00	0	

	Total Commercial Pol Ltd	AJKA3390K		452600	0	0	452600	36.24	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub-Total (A2)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals - Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0
(b)	Corporates		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0
(d)	Foreign Portfolio Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0
	Sub-Total (A3)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A1+ A2+A3)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0.00	0	0	0	0.00	0

(Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in dematerialised form, account, voting rights which are frozen etc.)

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Facsimilance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**Table III – Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII=(IV+V+VI)	Shareholding % calculated as per SCOE 1957 as a % of (A+B+C) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	Venture Capital Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c)	Alternative Investment Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(e)	Foreign Portfolio Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(f)	Financial Institutions - Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(g)	Insurance Companies		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(h)	Pension Funds/Pension Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(i)	Any Other (Specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub-Total (I)(a)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(2)	Central Government/State Government/UT/President of India		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub-Total (I)(b)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

(Q)	Non institution																	
(i)	Individuals - Individual shareholders holding nominal share capital up to Rs. 2 lakhs	30	25120	0	0	25120	0.56	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs	3	88880	0	0	88880	8.57	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Share Ltd		22880															
	Sarab Kz Doshi		33000															
	Omud Kz Singh		33000															
(b)	NBFC registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Debtors Depositors holding DRs (Balance figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Govt (Wholesale)	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
			0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (B+C)</b>	<b>33</b>	<b>114000</b>	<b>0</b>	<b>0</b>	<b>114000</b>	<b>9.13</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Public Shareholding (B) + (D+C) + (E+D+C)</b>	<b>33</b>	<b>114000</b>	<b>0</b>	<b>0</b>	<b>114000</b>	<b>9.13</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

Details of the shareholders acting as persons in concert holding their Shareholding (No. and %)

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat (unclaimed) account, voting rights which are frozen etc.

Note:

(1) PAN would not be disclosed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of following persons: Institutions Non Institutions holding more than 1% of total number of shares.

(3) If it is the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the Shareholders (B)	PAN (H)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 as a % of (A)-(B)+(C) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
(1)	Custodian/DH Holder																		
(a)	Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total Non Promoter- Non Public Shareholding (C) = (E)+(F)+(G)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

Note:

(1) PAN would not be disclosed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of all holders holding more than 1% of total number of shares.

(3) If it is the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

Name & Designation	Date: 10/10/2018
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For Little Rock Trade and Investment Ltd.  
 For Little Rock Trade And Investment Ltd.  
 Deepa Nanda  
 Director/Authorised Signatory